



778,709

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	SUGAYA et al.	Examiner:	Unknown
Serial No.:	09/778,709	Group Art Unit:	2724
Filed:	February 7, 2001	Docket No.:	10873.649US01
Title:	TRANSFER MATERIAL, METHOD FOR PRODUCING THE SAME...		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 25, 2001.

By: 

Todd Michel

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

Japanese application 9-116267 corresponds to U.S. 5,699,613.

Japanese application 9-51168 corresponds to U.S. 5,776,662.

Japanese application 2601128 corresponds to EP 568 930.

Japanese application 2603053 corresponds to EP 651 602.

Japanese application 2587596 corresponds to EP 645 950.

Japanese application 10-173097 corresponds to U.S. 6,060,150.

Japanese application 10-190191 corresponds to U.S. 6,143,116.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

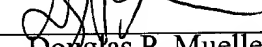
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612) 332-5300

Dated: April 25, 2001

By:   
\_\_\_\_\_  
Douglas P. Mueller  
Reg. No. 30,300  
DPM/tvm

<b>FORM 1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 10873.649US01	Application Number: 09/778,709
	Applicant: SUGAYA et al.	
	Filing Date: February 7, 2001	Group Art Unit: 2724

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 699 613	Dec. 1997	Chong et al.			
	5 776 662	July 1998	Shirai et al.			
	6 060 150	May 2000	Nakatani et al.			
	5 407 511	April 1995	Nakatani et al.			
	6 143 116	Nov. 2000	Hayashi et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	11-261219	Sept. 1999	Japan			Abstract	
	10-173316	June 1998	Japan			Abstract	
	9-116267	May 1997	Japan				
	9-51168	Feb. 1997	Japan				
	9-326562	Dec. 1997	Japan			Abstract	
	9-36551	Feb. 1997	Japan			Abstract	
	10-51139	Feb. 1998	Japan			Abstract	
	568 930	Nov. 1993	EPO				
	2601128	Jan. 1997	Japan				
	651 602	May 1995	EPO				
	2603053	Jan. 1997	Japan				
	645 950	March 1995	EPO				
	2587596	Dec. 1996	Japan				
	6-132667	May 1994	Japan			Abstract	
	7-142867	June 1995	Japan			Abstract	
	9-270584	Oct. 1997	Japan			Abstract	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

 <p>FORM 149*</p> <p>INFORMATION DISCLOSURE STATEMENT</p> <p>IN AN APPLICATION</p> <p>(Use several sheets if necessary)</p>	Docket Number: 10873.649US01	Application Number: 09/778,709
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	8-125291	May 1996	Japan			Abstract	
	8-288596	Nov. 1996	Japan			Abstract	
	10-173097	June 1998	Japan				
	10-84186	March 1998	Japan			Abstract	
	10-41611	Feb. 1998	Japan			Abstract	
	8-330709	Dec. 1996	Japan			Abstract	
	10-190191	July 1998	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	